



November 1 2015

Requirements for Partner Government Agency Information in ACE & Methods for Submission

United States government agencies with a role in trade are working together to meet the White House Executive Order mandating Single Window completion by 2016. As part of this effort, CBP is transitioning core trade processing capabilities into the Automated Commercial Environment (ACE). In order to reach the 2016 deadline, CBP has established November 1, 2015 as the mandatory date for filing all electronic entries and their corresponding entry summaries in ACE.

CBP and Partner Government Agencies (PGA) are working to ensure that any forms/data required at time of entry or entry summary are supported in ACE by November 1, 2015.

The following is a list of all agency forms or data required at time of entry or entry summary filing that must be electronically submitted to ACE by November 1, 2015.* The table groups the forms and data requirements by associated agency, program/commodity, and includes related status for pilots, working groups, and technical documentation. The table also identifies whether the forms will be submitted via the PGA Message Set or Document Image System (DIS).

To transmit required data, filers must adhere to the PGA Message set chapter of CBP and Trade Interface Requirements (CATAIR). Any agency specific requirements are captured through supplemental implementation guides (IGs). Documentation is located at:
<http://www.cbp.gov/trade/ace/catair>

Federal Register Notices (FRNs) related to specific agencies are cited below each agency name.

Additional forms or data not required at entry or entry summary will be support by ACE as well, but will be announced through a separate announcement at a later date.

By November 1, 2015:

- PGA data that is filed electronically today via Electronic Data Interchange (EDI) at time of entry or entry summary **must** be filed via the PGA Message Set in ACE.*
- PGA requirements at time of entry or entry summary that are met today via the submission of paper documents will require transmission by either the PGA Message Set or DIS.*
- Documents requested by CBP after an entry i.e., “docs required”, must be submitted via DIS. Documents requested by CBP post summary, i.e., “docs required,” may be submitted either via DIS or the Portal.

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* Unless exception noted throughout document.





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US DEPARTMENT OF AGRICULTURE

Agricultural Marketing Service (AMS)

Agency FRNs: Pending

Program/Commodity	Status	Form or Data Description	Form #	Message Set	DIS
GENERAL	Pilot: July 2015	Import Request Shell Eggs	LPS-222	✓	
	Working Group: Complete				
	Implementation Guides: Published	Request for Inspection, Reinsertion, Appeal Inspection	FV-237	✓	
		Foreign Government Export Certificate	M02		✓
		Importers Exempt Commodity Form	FV-6	✓	
		Application for Inspection	FV-356	✓	
		Notice of Intent to Request Inspection	FV-357		✓

Food Safety and Inspection Service (FSIS)

Agency FRNs: 79 FR 36084 (DIS), 78 FR 75931 (Message Set)

Program/Commodity	Status	Form or Data Description	Form #	Message Set	DIS
GENERAL	Pilot: Underway	Application For The Return Of Exported Products to the United States	9010-1	Will Remain Paper	
	Working Group: Complete				
	Implementation Guides: Published	Import Inspection Application and Report	9540-1	✓	
		Shipper Notification-Importation of Undenatured Inedible Meat Product	9540-4	Will Remain Paper	
		Notification of Intent (To Import Meat, Poultry, or Egg Products or "Samples for Laboratory Examination, Research, Evaluative Testing or Trade Show Exhibition")	9540-5	Will Remain Paper	
		Official Foreign Inspection Certificate		Will Remain Paper	

*Agency may require certain data from this form to be submitted through PGA Message Set, and an image of the form to be submitted via DIS.

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Animal and Plant Health Inspection Service (APHIS)

Agency FRNs: 79 FR 36084 (DIS)

Program/Commodity	Status	Form or Data Description	Form #	Message Set	DIS
ANIMAL CARE	Pilot: September 2015	Animal Care - Health Certificate	7041	✓	
	Working Group: Pending	Continuation Sheet for Application for Import Permit for Dogs	7040A	✓	
	Implementation Guides: Published	Continuation Sheet for Veterinary Treatment Agreement	7043A	✓	
		Animal Care - Veterinary Treatment Agreement	7043	✓	
		Application for Import Permit for Dogs	7040	✓	
		Import Permit for Dogs	7040B	✓	
		Rabies Vaccination Certificate	7042	✓	
LACEY ACT	Pilot: July 2015	Lacey Act Data	PPQ-505	✓	
	Working Group: Complete	Lacey Act Supplemental Form	PPQ-505B	✓	
PLANT PROTECTION & QUARANTINE	Pilot: September 2015	Foreign Site Certificate of Inspection and/or Treatment	PPQ-203	✓	
	Working Group: Pending	Notice of Arrival	PPQ-368	✓	
	Implementation Guides: Published	Permit to Import Soil	PPQ-525B	✓	
		Application for Permit to Move Live Plant Pests or Noxious Weeds	PPQ-526	✓	
		Application for Permit to Import Timber or Timber Products (SDS)	PPQ-585	✓	
		Permit to Transit Plants and/or Plant Products, Plant Pests and/or Associated Soil through the United States	PPQ-586	✓	
		Permit to Import Plants or Plant Products	PPQ 587	✓	
		Controlled Import Permit	PPQ 588	✓	
		General Permit to Engage in the Business of Importing, Exporting, or Re-Exporting Terrestrial Plants	PPQ-622	✓	
BIOTECH	Pilot: September 2015	Interstate Movement, Importation or Courtesy Permit	2000	✓	
	Working Group: Pending				
	Implementation Guides: Published				

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Animal and Plant Health Inspection Service (APHIS) Continued

Agency FRNs: 79 FR 36084 (DIS)

Program/Commodity	Status	Form or Data Description	Form #	Message Set	DIS
VETERINARY SERVICES	Pilot: September 2015	U. S. Veterinary Permit for Importation & Transportation of Controlled Materials and Organisms and Vectors	VS16-6 /A	✓	
	Working Group: Pending				
	Implementation Guides: Published	U.S. Permit to Import (Includes Transit)	VS17-135	✓	
		Declaration of Importation (Required to Accompany Animal)	VS17-29	✓	
		Application for Inspection and Dipping	VS17-32	✓	
		Agreement of Pet Bird Owner (U.S. Origin Returning)	VS17-8	✓	
		U. S. Veterinary Biological Product Permit	2006	✓	
		Foreign Government Sanitary Certificate (Veterinary Health Certificate)		✓	
GENERAL		Animals Imported for Immediate Slaughter	VS17-33		Will Remain Paper
	Pilot: n/a	Foreign Government Meat Certificate			Will Remain Paper
	Working Group: n/a	Foreign Government Meat Inspection Certificate			Will Remain Paper
	Implementation Guides: n/a	All Documents Required to Determine Entry Suitability			✓
		Phytosanitary Certificate (Issued by country of origin)			Will Remain Paper
		STAT Supporting Statement			✓
		Foreign Government Health Certificate			Will Remain Paper

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US DEPARTMENT OF COMMERCE

National Marine Fisheries Service (NMFS)

Agency FRNs: 79 FR 36084 (DIS)

Program/Commodity	Status	Form or Data Description	Form #	Message Set	DIS
HIGHLY MIGRATORY SPECIES	Pilot: July 2015	Highly Migratory Species International Trade Permit		✓	
	Working Group: Underway	CCSBT Catch Monitoring Form*		✓	✓
	Implementation Guides: Published	CCSBT Re-export/export after Landing of Domestic Product*		✓	✓
		IATTC Bigeye Tuna Statistical Document*		✓	✓
		IATTC Bigeye Tuna Re-export Certificate*		✓	✓
		ICCAT Bigeye Tuna Statistical Document*		✓	✓
		ICCAT Bigeye Tuna Re-export Certificate*		✓	✓
		ICCAT Bluefin Tuna Re-Export Certificate*		✓	✓
		ICCAT Bluefin Tuna Catch Document*		✓	✓
		ICCAT Swordfish Statistical Document*		✓	✓
		ICCAT Swordfish Re-export Certificate*		✓	✓
		IOTC Bigeye Tuna Statistical Document*		✓	✓
		IOTC Bigeye Tuna Re-export Certificate*		✓	✓
ANTARCTIC MARINE LIVING RESOURCES	Pilot: July 2015	AMLR Dealer Permit		✓	
	Working Group: Underway	Dissostichus Catch Document*		✓	✓
	Implementation Guides: Published	Dissostichus Re-export Document*		✓	✓
		Reporting form for Catch Documents Accompanying Fresh, Air-shipped shipments of Toothfish*		✓	✓
		Toothfish Pre-Approval *		✓	✓
TUNA TRACKING AND VERIFICATION	Pilot: Underway (DIS), July 2015 (MS)	Fisheries Certificate of Origin*	NOAA 370	✓	✓
	Working Group: Underway (MS)	Attachment: IDCP Member Nation Certification			✓
	Implementation Guides: Published	Attachment: Captain's Statement			✓
		Attachment: Observer's Statement			✓

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Enforcement and Compliance (E&C)

Agency FRNs:

Program/Commodity	Status	Form or Data Description	Form #	Message Set	DIS
GENERAL	Pilot: n/a	Permit to enter watches and watch movements into the customs territory of United States	ITA-340P		✓
	Working Group: n/a				
	Implementation Guides: n/a	Confirmation that import will be used in accordance with guidelines under the Florence Agreement/ application for duty free entry of scientific instruments	ITA-338P		✓
		Certificate of Entitlement to Secure the Refund of Duties on Articles that Entered the Customs	ITA-360P		✓
		Request for Refund of Duties on Articles that Entered the Customs Territory of the United States Duty Paid	ITA-361P		✓
		AD/CVD Foreign Government Export License: Uranium products from Russia			✓
		AD/CVD Foreign Government Export Certificate: Uranium products from Russia			✓
		AD/CVD Foreign Government Export License: Sugar from Mexico			✓
		Certificate stating that the importer has not been reimbursed by the exporter or manufacturer			✓
		Uranium Products from any country incl. Russia			✓
		AD/CVD Case-Specific Producer/ Further Processor/ Country of origin certifications			✓
		Declaration of Intent to re-export Uranium products from Russia within a certain period			✓
		Declaration of intent to re-export Uranium products from France			✓
		Processor Certification Uranium Products from Russia			✓
		End-User Certification Uranium products from Russia			✓
		End User Certification Uranium products from France			✓
		Origin certification: Uranium products from any country including Russia			✓

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US DEPARTMENT OF DEFENSE

Defense Contracts Management Agency (DCMA)

Agency FRNs:

Program/Commodity	Status	Form or Data Description	Form #	Message Set	DIS
GENERAL	Pilot: July 2015 Working Group: n/a Implementation Guides: Pending	Certificate of Duty Free Entry		✓	

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US DEPARTMENT OF HEALTH AND HUMAN SERVICES

Centers for Disease Control and Prevention (CDC)

Agency FRNs:

Program/Commodity	Status	Form or Data Description	Form #	Message Set	DIS
GENERAL	Pilot: n/a	Permit to Import or Transfer Etiologic Agents or Vectors of Human Disease	0.0728		✓
	Working Group: n/a	Permits to Import African Rodents, Civets or Turtles			✓
	Implementation Guides: n/a	Notice to Owners and Importers of Dogs (Arrival of Non-Rabies Vaccine)	75.37		✓
		Death Certificate for Human Remains			✓
		Permits to Import remains of Person Who Died of Communicable Diseases			✓
		Rabies Certificate			✓
		Application to Transfer Select Agents and Toxins			✓
		CDC Import Permit for Unimmunized Dogs			✓
		Certification Statement			✓
		Documentation for Noninfectious Material			✓
		CDC Permission Message/Permission to Import the Nonhuman Primate Shipment			✓

Food and Drug Administration (FDA)

Agency FRNs:

Program	Status	Form or Data Description	Form #	Message Set	DIS
GENERAL	Pilot: July 2015 Working Group: Underway Implementation Guides: Published	<i>FDA data collected today through OGA feed to transition.</i>		✓	

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US DEPARTMENT OF HOMELAND SECURITY

Customs and Border Protection (CBP)

Agency FRNs:

Program/Commodity	Status	Form or Data Description	Form #	Message Set	DIS
TRADE TRANSACTIONS	Pilot: n/a	Application for Allowance in Duties	4315		✓
	Working Group: n/a	Application for Extension of Bond for Temporary Importation	3173		✓
	Implementation Guides: n/a	Protest	19		✓
		Cost Submission	247		✓
		Delivery Certificate for Purposes of Drawback	7552		✓
		Drawback Entry	7551		✓
		Declaration for Free Entry of Returned American Products	3311		✓
		Softwood Lumber Certificate		Will Remain Paper	
		Kimberley Process Certificate		Will Remain Paper	
TRADE AGREEMENTS	Pilot: n/a	US/Chile Free Trade Agreement			✓
	Working Group: n/a	US/Singapore Free Trade Agreement			✓
	Implementation Guides: n/a	US/Australia Free Trade Agreement			✓
		Israel - Qualifying Industrial Zones (QIZ) Free Trade Agreement			✓
		US/Morocco Free Trade Agreement			✓
		US/Bahrain Free Trade Agreement			✓
		US/Jordan Free Trade Agreement			✓
		US/Oman Free Trade Agreement			✓
		US/Peru Free Trade Agreement			✓
		U.S. -Korea Free Trade Agreement			✓
		U.S. -Colombia Free Trade Agreement			✓

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US DEPARTMENT OF HOMELAND SECURITY

Customs and Border Protection (CBP) Continued

Agency FRNs:

Program/Commodity	Status	Form or Data Description	Form #	Message Set	DIS
TRADE AGREEMENTS	Pilot: n/a	U.S. Central American Free Trade Agreement (CAFTA)			✓
	Working Group: n/a				
	Implementation Guides: n/a	NAFTA Certificate of Origin	434		✓
		NAFTA Verification of Origin Questionnaire	446		✓
		NAFTA Motor Vehicle Averaging Election	447		✓
		Caribbean Basin Trade Partnership Act Certificate of Origin	450		✓
		Haitian Hemispheric Opportunity through Partnership Encouragement Act (HOPE)			✓
		Insular Possessions Certificate of Origin	3229		✓
		Generalized System of Preferences (GSP)			✓
		Caribbean Basin Economic Recovery Act (CBERA)			✓
		African Growth and Opportunity Act (AGOA)			✓
		JADE Act			Will Remain Paper

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US DEPARTMENT OF INTERIOR

Fish and Wildlife Service (FWS)

Agency FRNs:

Program/Commodity	Status	Form or Data Description	Form #	Message Set	DIS
GENERAL	Pilot: n/a	Declaration for the Importation and Exportation of Fish or Wildlife	3-177		
	Working Group: n/a	CITES Export Permit issued by exporting Foreign Government			
	Implementation Guides: n/a	CITES Re-export Certificate issued by re-exporting foreign government			
		CITES "Other" Document issued by foreign exporting or re-export government.			
		CITES Import permit issued by FWS for imports			
		Wildlife Export and Re-export Permits issued by Foreign Government			
		Endangered Species Act Import Permit			
		Marine Mammal Protection Act Import Permit			
		Wild Bird Conservation Act Import Permit			
		Migratory Bird Treaty Act Import Permit			
		Injurious Species Import Permit			
		Bald and Golden Eagle Protection Act Import Permit			
		Transportation Documents			
		Transaction Documents			
		Commodity Specific Documents			

* Status quo at this time. Data will continue to be submitted directly to DOI system.

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US DEPARTMENT OF JUSTICE

Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF)

Agency FRNs:

Program/Commodity	Status	Form or Data Description	Form #	Message Set	DIS
GENERAL	Pilot: July 2015	Application and Permit for Importation of Firearms, Ammunition and Implements of War	6 (5330.3A)	✓	
	Working Group: Pending	Release and Receipt of Imported Firearms, Ammunition and Implements of War	6A (5330.3C)	✓	
	Implementation Guides: Published	Federal Firearms License		✓	
		Federal Explosives License		✓	

Drug Enforcement Administration (DEA)

Agency FRNs:

Program/Commodity	Status	Form or Data Description	Form #	Message Set	DIS
GENERAL	Pilot:	Import/Export Declaration for List I and II Chemicals	486		TBD
	Working Group:	Improve Declaration for Ephedrine, Pseudoephedrine, and Phenylpropanolamine	486A		TBD
	Implementation Guides:	Controlled Substances Import/Export Declaration	286		TBD

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US DEPARTMENT OF STATE

Directorate of Defense Trade Controls (DDTC)

Program/Commodity	Status	Form or Data Description	Form #	Message Set	DIS
GENERAL	Pilot: July 2015	Application/License for Temporary Import of Unclassified Defense Articles	DSP-61	✓	
	Working Group: Pending	Application/License for Temporary Export of Unclassified Defense Articles	DSP-73	✓	
	Implementation Guides: Published	Application/License for Permanent/Temporary Export or Temporary Import of	DSP-85	✓	

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US DEPARTMENT OF TRANSPORTATION

National Highway Traffic Safety Administration (NHTSA)

Agency FRNs: 79 FR 36084 (DIS)

Program/Commodity	Status	Form or Data Description	Form #	Message Set	DIS
GENERAL	Pilot: July 2015	Declaration Form*	HS-7	✓	
	Working Group: Complete	Box 2B Attachment: Canadian Motor Vehicle Safety Standards			✓
	Implementation Guides: Published	Box 6 Attachment: Official Orders for tour of Duty in United States (Diplomat)			✓
		Box 3: Bond to Ensure Conformance with Motor Vehicle Safety and Bumper Standards	HS-474		✓
		Box 9 Attachment: Manufacturer's Instruction on How to Complete Vehicle to Comply with Safety Standards			✓
		Box 12 Attachment: Official Orders for tour of Duty in United States (Military)			✓

* Based on Declaration Form input, certain supplemental documents will be required to be submitted via DIS.

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US DEPARTMENT OF TREASURY

Alcohol and Tobacco Tax and Trade (TTB)

Agency FRNs:

Program/Commodity	Status	Form or Data Description	Form #	Message Set	DIS
GENERAL	Pilot: July 2015	Notice of Release of Tobacco Products, Cigarette Papers, or Cigarette Tubes	5200.11	✓	
	Working Group: Pending	Certificate of Label Approval	5100.31	✓	✓
	Implementation Guides: Published	Certificate of Identity/Origin		✓	✓
		Standard Effective Tax Rate Approval		✓	✓
		Withdrawal of Spirits, Specially Denatured Spirits, or Wines for Exportation	5100.11	✓	
		Certificate of Effective Tax Rate		✓	
		Transfer Record and Package Gauge Record		✓	
		Spirits for Use of the U.S	5150.33	✓	
		Basic Permit	5170.2	✓	

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INDEPENDENT AGENCIES

Environmental Protection Agency (EPA)

Agency FRNs: 78 FR 75931 (Message Set), 79 FR 36084 (DIS), 80 FR 6098 (Message Set)

Program/Commodity	Status	Form or Data Description	Form #	Message Set	DIS
VEHICLES & ENGINES	Pilot: Underway	Importation of Motor Vehicles and Engines (On Road) *	3520-1	✓	✓
	Working Group: Complete	Importation of Motor Vehicles and Engines (Off Road) *	3520-21	✓	✓
	Implementation Guides: Published	Pre-Approved Vehicle/Engine Exemption Letter *		✓	✓
PESTICIDES	Pilot: July 2015	Pesticide Label			✓
	Working Group: Complete	Notice of Arrival of Pesticides and Devices (NOA)	3540-1	✓	✓
	Implementation Guides: Published				
OZONE DEPLETING SUBSTANCES	Pilot: Underway				
	Working Group: Complete	(CAA) Import of Ozone Depleting Substances (ODS)		✓	
	Implementation Guides: Published				
TOXIC SUBSTANCE CONTROL	Pilot: n/a				
	Working Group: n/a	Toxic Substances Control Act (TSCA) Import Certification Form			✓
	Implementation Guides: n/a				
GENERAL	Pilot: n/a				
	Working Group: n/a	Non-objection Notice			✓
	Implementation Guides: n/a				

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